

Guidelines for using the PREMIS Version 3 OWL Ontology

PREMIS Editorial Committee Version 2, April 26th, 2022





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Angela Dilorio (Sapienza Università di Roma)
Rebecca Guenther (Consultant, Library of Congress)
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Links to related documents

PREMIS Ontology version 3 webpage:

https://www.loc.gov/standards/premis/ontology/owl-version3.html

PREMIS OWL ontology:

https://id.loc.gov/ontologies/premis.html

Full PREMIS Examples:

https://github.com/lcnetdev/PREMIS/tree/master/examples

Mapping PREMIS 3 Data Dictionary to RDF:

http://www.loc.gov/standards/premis/ontology/pdf/mapping-premissdd-to-rdf-20180924.pdf

Preservation Vocabularies:

https://id.loc.gov/vocabulary/preservation.html

Introduction

PREMIS is based on a data model that defines the entities that are described (Objects, Events, Agents and Rights), the properties of those entities (semantic units), and relationships between them. This PREMIS OWL ontology provides an RDF encoding reflecting that model. This document is based on the PREMIS Data Dictionary version 3 (http://www.loc.gov/standards/premis/v3/premis-3-0-final.pdf). Readers should refer to it for the definitions of PREMIS terms.

These guidelines aim only to provide an endorsed expression of the PREMIS Data Dictionary version 3.0 and its data model in RDF, in order to facilitate interoperability between repositories or registries publishing or exchanging metadata about digital objects. Implementers are therefore encouraged to use the RDF constructs listed below and supplement them by creating subclasses and subproperties of their own where necessary.



Please also note that, because the RDF expression recommended in this document directly reuses some external vocabularies, this document should be read before using the PREMIS Ontology 3.0.

The namespace URI for the revised PREMIS Ontology version 3.0 is http://www.loc.gov/premis/rdf/v3/; all terms defined in it will be referred to in this document using the prefix "premis:".

Differences Between v. 2 and v. 3 Ontology

This is a revision of an RDF ontology based on the PREMIS Data Dictionary version 2.2 available at: http://www.loc.gov/premis/rdf/v1. It is a substantial remodeling based on incorporating emerging Linked Data best practices, such as reusing and connecting to classes and properties from other related ontologies. The previous version of the ontology reflected an encoding of the PREMIS Data Dictionary in RDF, which was fairly faithful to the semantic units of the Data Dictionary, enhanced by value vocabularies, available at http://id.loc.gov/preservationdescriptions/. This revision asserts relationships between PREMIS classes and properties and other vocabularies, and in some cases reuses external classes and properties. Generalized properties are used throughout the ontology, such as for identifier, note, and version.

The basic principles followed for the PREMIS OWL ontology 3.0 were:

- Make the ontology as simple as possible.
- Reuse existing elements from well-known ontologies where possible and where semantics agree.
- Make relationships between PREMIS elements and those from well-known ontologies. These can be hierarchical relationships (e.g. class/subclass, property/subproperty) or equivalencies (skos:exactMatch, skos:closeMatch).
- Use URIs to identify things rather than strings. If there is an enumerable set, then
 create URIs for the items of the set. These are done in
 http://id.loc.gov/preservationdescriptions/
- Follow RDF constructions, rather than XML constructions. Use data dictionary names where appropriate.
- Types / Categories for an object are classes, using rdf:type, and creating subclasses if necessary.
- Refinement of properties should be done by creating subproperties, if necessary,
- Extension is handled via RDF, by simply adding additional properties to the objects,
- Cardinalities are not useful to validate RDF data, like in the XML Schema implementations, but for the user to know which data structures he/she could be awaiting. The semantic units mandatory obligation in the Data Dictionary will not systematically be reflected as classes and properties cardinality.
- The names of the classes and predicates should follow best practice naming conventions. Classes should generally be initial upper case noun phrases (ClassOfThing), predicates should be initial lowercase terms.
- RDF functionality that isn't very widely supported or has the potential to conflict with local implementation, such as named graphs and reification, should be avoided.



Preservation Vocabularies Integration

Preservation vocabularies listed at http://id.loc.gov/vocabulary/preservation.html were originally created as controlled vocabularies associated with specific PREMIS semantic units.¹

Example: the values of the semantic unit *messageDigestAlgorithm* semantic unit² should be a controlled list. A controlled vocabulary proposed at http://id.loc.gov/vocabulary/preservation/cryptographicHashFunctions.html specifies a standard form for the most commonly expected values of this semantic unit.

The new version of the ontology assigns a key role to these vocabularies as they are intended to provide refinements of generic PREMIS elements defined in the ontology. Therefore members of each preservation vocabulary, which are now instances of SKOS Concept and MADS-RDF Topic, will be revised with additional assertions, making them either subproperties, subclasses or instances of elements declared in the PREMIS ontology.

Example: members of the 'cryptographic hash functions' vocabulary mentioned above will be declared subclasses of premis: Fixity.

Should implementers feel the need for new elements in the preservation vocabularies, they can create their own and relate it to the corresponding ontology elements.

Example: an implementer who would need to specify that a Bitstream is located in an XML File, at a specific place indicated by its XML ID could create a my:xmllDref class, and declare it subclass of premis:StorageLocation.

Note that if implementers consider their new term to be generic enough to serve the community, they may suggest that the PREMIS Editorial Committee add it.

External vocabularies

Ontology Prefix used in **Namespace** this document The following vocabularies are reused from existing ontologies **Dublin Core** dce http://purl.org/dc/elements/1.1/ Elements **Dublin Core** dct http://purl.org/dc/terms/ Terms **EBU Core** ebucore https://www.ebu.ch/metadata/ontologies/ebucore#

¹ Note that preservation vocabularies can be used in any expression of the PREMIS Data Dictionary, be it XML, RDF or relational database, and even by non-PREMIS implementations.

² See PREMIS Data Dictionary version 3.0, p. 61, http://www.loc.gov/standards/premis/v3/premis-3-0-final.pdf#page=71.



Friend Of A Friend	foaf	http://xmlns.com/foaf/spec/	
Open Digital Rights Language	odrl	https://www.w3.org/ns/odrl/2/	
OWL 2 Web Ontology Language	owl	http://www.w3.org/2002/07/owl#	
Object Reuse and Exchange	ore	http://www.openarchives.org/ore/terms	
PROV Ontology	prov	http://www.w3.org/ns/prov#	
RDF Schema	rdfs	http://www.w3.org/TR/rdf-schema/	
Simple Knowledge Organization System	skos	http://www.w3.org/2004/02/skos/core#	
The following vocabularies are id.loc.gov preservation vocabularies			
Agent Type	agType	id.loc.gov/vocabulary/preservation/agentType	
Content Location Type	contLocType	id.loc.gov/vocabulary/preservation/contentLocationType	
Copyright Status	copStatus	id.loc.gov/vocabulary/preservation/copyrightStatus/	
Cryptographic Hash Functions	crypHashFunc	id.loc.gov/vocabulary/preservation/cryptographicHashFunctions	
Environment Characteristic	envChar	id.loc.gov/vocabulary/preservation/environmentCharacteristic	
Environment Function Type	envFuncType	id.loc.gov/vocabulary/preservation/environmentFunctionType	
Environment Registry Role	envRegRole	id.loc.gov/vocabulary/preservation/environmentRegistryRole	
Event Outcome	evOutcome	id.loc.gov/vocabulary/preservation/eventOutcome	
Event Related Agent Role	evRelAgRole	id.loc.gov/vocabulary/preservation/eventRelatedAgentRole	
Event Related Object Role	evRelObjRole	id.loc.gov/vocabulary/preservation/eventRelatedObjectRole	



Event Type	evType	id.loc.gov/vocabulary/preservation/eventType	
Format Registry Role	forRegRole	id.loc.gov/vocabulary/preservation/formatRegistryRole	
Inhibitor Type	inhibType	id.loc.gov/vocabulary/preservation/inhibitorType	
Linking Environment Role	linkEnvRole	id.loc.gov/vocabulary/preservation/linkingEnvironmentRole	
Preservation Level Role	presLevRole	id.loc.gov/vocabulary/preservation/preservationLevelRole	
Preservation Level Type	presLevType	id.loc.gov/vocabulary/preservation/preservationLevelType	
Relationship Subtype	relSubType	id.loc.gov/vocabulary/preservation/relationshipSubType	
Relationship Type	relType	id.loc.gov/vocabulary/preservation/relationshipType	
Rights Basis	rightsBasis	id.loc.gov/vocabulary/preservation/rightsBasis	
Rights Related Agent Role	rightsRelAgRole	id.loc.gov/vocabulary/preservation/rightsRelatedAgentRole	
Signature Encoding	sigEncoding	id.loc.gov/vocabulary/preservation/signatureEncoding	
Signature Method	sigMethod	id.loc.gov/vocabulary/preservation/signatureMethod	
Storage Medium	storMedium	id.loc.gov/vocabulary/preservation/storageMedium	
The following vocabularies are used in examples			
Bibframe	bf	http://id.loc.gov/ontologies/bibframe#	
Portland Common Data Model	pcdm	http://pcdm.org/models#	
Wikidata Entity	wd	http://www.wikidata.org/entity/	

The prefix "my:" is used to introduce an example of a locally-defined class or property.



Conventions

Typographic conventions

The name of PREMIS semantic units is indicated using *italics*. RDF vocabularies are indicated using the Courier New font.

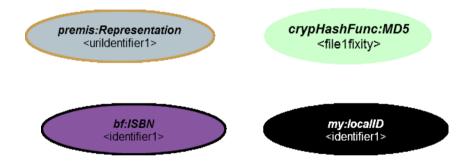
Example: "The *compositionLevel* semantic unit should be expressed through the premis:compositionLevel property."

Diagram conventions

In the diagrams, resources are mentioned with a fictitious URI and the class they are instance of is indicated in **bold and italics** above the URI.

Instances of classes defined in the PREMIS ontology 3.0 are represented by gray ellipses, instances of locally defined classes are black ellipses, instances of classes defined at http://id.loc.gov/vocabulary/preservation are green ellipses, and instances of classes defined in other ontologies are purple ellipses.

Examples:



Identifier

In RDF, defining an Identifier element is generally unnecessary, since the identifier of each Entity is the URI that is the subject of the triples. A URI being universally unique, its identifier type does not need to be specifically stated in a separate assertion.

Example:



Fig. 1: Identifier as URI

<uriIdentifier1> a premis:Representation .



Nevertheless, if the identifier value is a literal and not a URI, the simplest approach is to use dct:identifier (or subproperties of it to indicate the identifier type)

Example:

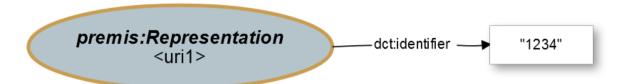


Fig. 2: Using dct:Identifier

```
<uril> a premis:Representation ;
    dct:identifier "1234" .
```

Note: a premis: Identifier class and a premis: identifier property are maintained, but should be used **only**

- **if additional information is required**³ (such as identifier status or administrative history);
- or to point to instances of classes from existing vocabularies that would use classes to define identifier types, e.g.

http://id.loc.gov/ontologies/bibframe#c_ISBN.

Example 1: the identifier is a locally defined string, with additional assertions.

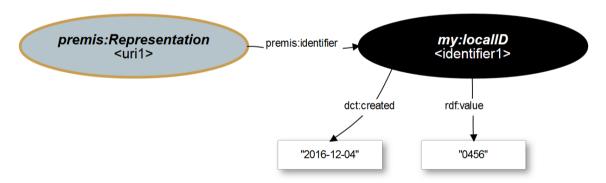


Fig. 3: Using locally defined identifiers with additional properties

```
<uril> a premis:Representation ;
    premis:identifier <identifier1> .
<identifier1> a my:localID ;
    dct:created "2016-12-04" ;
    rdf:value "0456" .
```

³ Note that there is no equivalent semantic unit in the PREMIS Data Dictionary. Properties to be attached to a premis:Identifier class are therefore entirely up to implementers.



Example 2: the identifier is an ISBN.

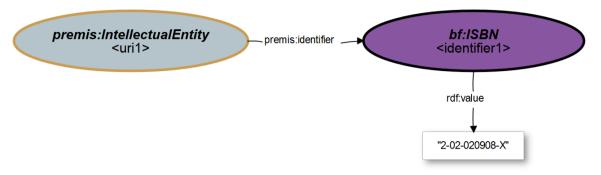


Fig. 4: Using externally defined identifiers with additional properties

```
<uri1> a premis:IntellectualEntity;
    premis:identifier <identifier1> .
<identifier1> a bf:ISBN;
    rdf:value "2-02-020908-X".
```

Relationships Between PREMIS Entities

Relationships between the four different PREMIS Entities (Object, Event, Agent and Rights) are expressed by the following semantic units: *linkingEventIdentifier*, *linkingRightsStatementIdentifier*, *linkingAgentIdentifier*, *linkingObjectIdentifier* and *linkingEnvironmentIdentifier*. Their mapping in RDF depends on the nature of the PREMIS entities they are binding.

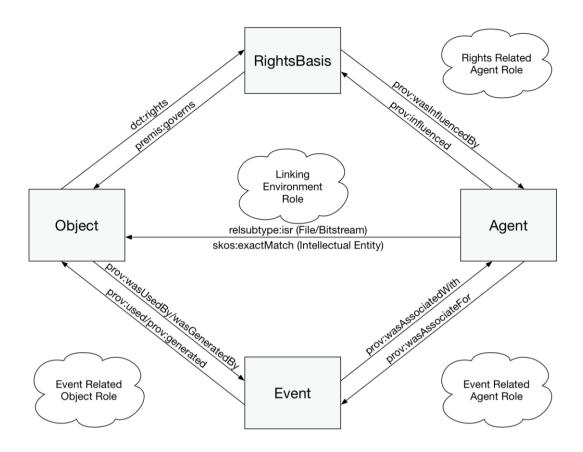


Fig. 5: Relationships between PREMIS entities

Object to Event

PROV-O properties should be used to point from an instance of premis:Object to an instance of premis:Event. The prov:wasGeneratedBy property should be used if the Object was created by the Event. The prov:wasUsedBy⁴ property should be used if the Object pre-existed the Event.

Note: the alternative construct used to associate an Event with an Object by means of the *relatedEventIdentifier*⁵ semantic container should use the same construct in RDF, without regard to whether the Object is related to another Object through the Event or not.

Object to Rights

The dct:rights property should be used to point from an instance of premis:Object to an instance of premis:RightsBasis.

⁴ Note that prov:wasUsedBy is not a PROV-O official property. See https://www.w3.org/TR/prov-o/#inverse-names for more information about PROV-O inverse properties.

⁵ See the PREMIS Data Dictionary v. 3.0 p. 15: http://www.loc.gov/standards/premis/v3/premis-3-0-final.pdf#page=25



Event to Object

If the relationship from Event to Object must be stated, PROV-O properties prov:generated (inverse property of prov:wasGeneratedBy) and prov:used (inverse property of prov:wasUsedBy) should be used to point from an instance of premis:Event to an instance of premis:Object. If the Object role played in the Event is further specified by means of a *linkingObjectRole* semantic unit, locally defined subproperties of these PROV-O properties or those declared at id.loc.gov/vocabulary/preservation/eventRelatedObjectRole should be used.

Event to Agent

To express the relationship between Event and Agent the prov:wasAssociatedWith property should be used to point from an instance of premis:Event to an instance of premis:Agent. If the role played by the Agent in the Event is further specified by means of a linkingAgentRole semantic unit, subproperties of prov:wasAssociatedWith either declared at http://id.loc.gov/vocabulary/preservation/eventRelatedAgentRole or locally defined should be used.

Agent to Event

If the relationship from Agent to Event must be stated, the prov:wasAssociateFor PROV-O property (inverse property of prov:wasAssociatedWith) should be used.

Note that the relationship from an instance of premis: Event to an instance of premis: Agent should be preferred over this one, in particular because prov: wasAssociateFor is not an official PROV-O property. 6

Rights to Agent

The relationship between Rights and Agent should be expressed through a prov:wasInfluencedBy property pointing from an instance of premis:RightsBasis to an instance of premis:Agent. If the role played in the definition of the rights basis by an Agent is further specified by means of a *linkingAgentRole* semantic unit, subproperties of prov:wasInfluencedBy either declared at http://id.loc.gov/vocabulary/preservation/rightsRelatedAgentRole or locally defined should be used.

Note: if users need to specify the Agent affected by the permission or prohibition expressed in the Rights Entity, a prov:influenced property may be used from an instance of premis:Rule to an instance of premis:Agent.

⁶ See https://www.w3.org/TR/prov-o/#inverse-names for more information about PROV-O inverse properties.



Agent to Object

If the Object is a Representation, a File or a Bitstream, the relSubType:isr property (is Represented By) should be used; if the Object is an Intellectual Entity, one of the skos:exactMatch / skos:closeMatch properties should be used depending on whether the described Agent corresponds exactly or partially to the Environment Object described in an Environment registry. If the Environment Object role is further specified by means of a linkingEnvironmentRole, subproperties of premis:relationship either declared at id.loc.gov/vocabulary/preservation/linkingEnvironmentRole or locally defined should be used.

Agent to Rights

If the relationship from Agent to Rights must be stated, a prov:influenced property should be used from an instance of premis:Agent to an instance of premis:RightsBasis.

Rights to Object

If the relationship from Rights to Object must be stated, the premis:governs property should be used to point from an instance of premis:RightsBasis to an instance of premis:Object.

Object

Object type (objectCategory)

Every PREMIS Object is an instance of one of the subclasses of the PREMIS Object abstract class. The class hierarchy is represented by the diagram below:

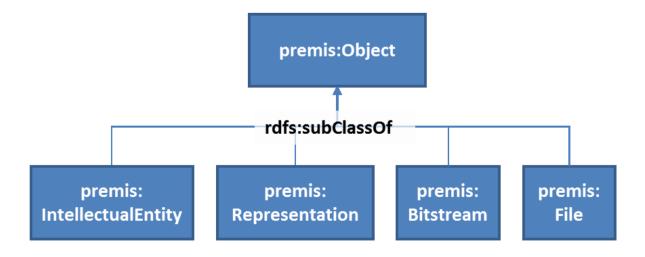
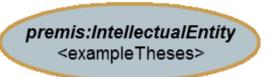




Fig. 6: The four PREMIS object types (objectCategory)

Hence, the *objectCategory* is specified using rdf:type.

Example:



<exampleTheses> a premis:IntellectualEntity .

Preservation Policy (preservationLevel)

PREMIS uses the *preservationLevel* semantic container to reference specific preservation policies applied to an Object or a set of Objects. Implementers should use the premis:PreservationPolicy class or one of its subclasses declared at https:id.loc.gov/preservation/preservationLevelType, or create locally defined subclasses of these for every specific value of the *preservationLevelType* semantic unit; instances of such classes should then be created for every specific preservation policy and RDF constructs listed below should be used to describe them.

- The preservation policy applied to the Object should be related to it by means of a premis:policy property.
- As values of the *preservationLevelValue* semantic unit are meant to be specific to each implementer, it is recommended to create local instances of subclasses of premis: PreservationPolicy and attach to them a free-text description with a premis: note property.
- To express the context in which the policy applies to the Object, e.g., to distinguish
 between the intended preservation level and the current achievable preservation
 level (preservationLevelRole semantic unit), implementers should use subproperties
 of premis:policy, either declared at
 - http://id.loc.gov/vocabulary/preservation/preservationLevelRole or locally defined.
- The rationale for why the policy was assigned is expressed by the PREMIS semantic unit *preservationLevelRationale*. In RDF, this should be expressed through a premis:rationale property attached to the preservation policy, whose object would be a string literal.
- It is recommended that implementers use a PREMIS Event if they need to record information about the policy assignment. Hence, preservationLevelDateAssigned should be expressed through a dct:date property attached to an instance of a policy assignment Event (defined at http://id.loc.gov/vocabulary/preservation/eventType/poa).



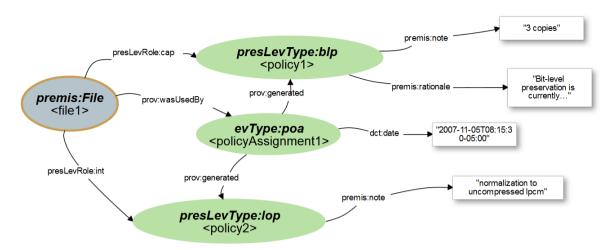


Fig. 7: An Object assigned with two policies

```
<file1> a premis:File;
    presLevRole:cap <policy1>;
    presLevRole:int <policy2>;
    prov:wasUsedBy <event1>.

<policy1> a presLevType:blp; #presLevType:blp = bit-level preservation premis:note "3 copies";
    premis:rationale "Bit-level preservation is currently...".

<policy2> a presLevType:lop; #presLevType:lop = logical preservation premis:note "normalization to uncompressed lpcm".
<event1> a evType:poa;
    prov:generated <policy1>, <policy2>;
    dct:date "2007-11-05T08:15:30-05:00".
```

Significant Properties

Significant properties are defined by the PREMIS Data Dictionary as "characteristics of a particular object subjectively determined to be important to maintain through preservation action". Thus, the premis:SignificantProperties class is declared a subclass of the premis:PreservationPolicy class. Instances of the premis:SignificantProperties class, attached to the Object thanks to a premis:policy property, are meant to point to one or several properties of the Object that should be considered significant, using the rdf:value property. In the example below, <File1> and <File2> are formatted with bold and italics, and this property has been deemed significant. A Policy assignment Event records the date and time when a preservation policy, aiming at preserving the Objects formatting, was applied to these Objects. Note that <File1> has another property (frame rate) that was not deemed significant.

Significant properties can be shared and linked to from multiple objects when they are a general policy, or unique to a single object:



Example:

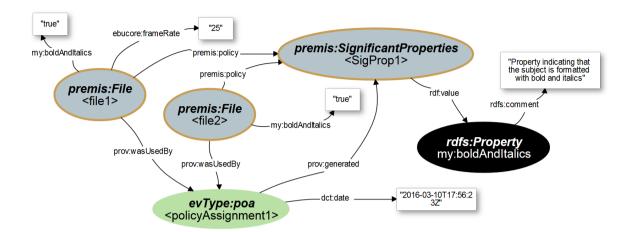


Fig. 8: Significant properties

```
<File1> a premis:File;
  premis:policy <sigProp1> ;
  prov:wasUsedBy <event1> ;
  ebucore: frameRate "25";
  my:boldAndItalics "true" .
<File2> a premis:File ;
  premis:policy <SigProp1> ;
  prov:wasUsedBy <event1> ;
  my:boldAndItalics "true" .
<SigProp1> a premis:SignificantProperties ;
  rdf:value my:boldAndItalics .
<Event1> a evType:poa ;
  prov:generated <SigProp1> ;
  dct:date "2016-03-10T17:56:23Z" .
my:boldAndItalics a rdfs:Property;
  rdfs:comment "Property indicating that the subject is formatted
with bold and italics" .
```

Compound Objects (compositionLevel)

When an Object is compressed, encrypted or just packaged into a container file, each "layer" is described as a different premis:Object related to the others by means of properties either declared at http://id.loc.gov/vocabulary/preservation/relationshipSubType or locally defined subproperties of premis:relationship. For a comprehensive description of the



way PREMIS defines compound Objects, see the "Object characteristics and composition level: the 'onion' model" section page 256 of the Data Dictionary.⁷

- The *compositionLevel* semantic unit is expressed by a premis:compositionLevel property.
- Indicate the relationship between these different Objects, such as
 - o relSubType:cot (compressed to) / relSubType:cof (compressed from)
 for compressed Objects; relSubType:ent (encrypted
 to)/relSubType:enf (encrypted from)
 - o relSubType:hsp (has part) / relSubType:isp (is part of) for packaged Objects.

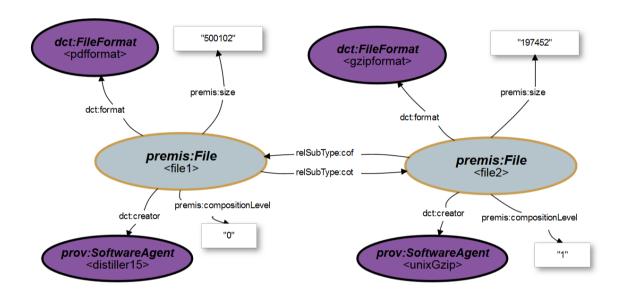


Fig. 9: Relationship between compressed and uncompressed versions of a File

```
<file1> a premis:File ;
  premis:compositionLevel "0" ;
  dct:format <pdfformat> ;
  premis:size "500102" ;
  dct:creator <Acrobat> ;
  relSubType:cot <file2> .

<file2> a premis:File ;
  premis:compositionLevel "1" ;
  dct:format <gzipformat> ;
  premis:size "197452" ;
  dct:creator <unixGzip> ;
  relSubType:cof <file1> .
```

⁷ Cf. http://www.loc.gov/standards/premis/v3/premis-3-0-final.pdf#page=266.



Fixity

- Fixity information is attached to the Object with a premis: fixity property having a range of premis: Fixity.
- The algorithm used to produce the message digest (messageDigestAlgorithm semantic unit) should be expressed using a subclass of premis: Fixity either declared at http://id.loc.gov/vocabulary/preservation/cryptographicHashFunctions or locally defined.
- The *messageDigest* itself is attached to the instance of premis: Fixity using the rdf: value property.
- The agent that is the creator of the message digest (*messageDigestOriginator*) is expressed by an instance of prov:SoftwareAgent attached by a dct:creator property to the instance of premis:Fixity.

Note: the *messageDigestOriginator* may also be declared as an implementer Agent (cf.

http://id.loc.gov/vocabulary/preservation/linkingAgentRoleEvent/imp) involved in a message digest calculation Event (cf.

http://id.loc.gov/vocabulary/preservation/eventType/mes).

Note: implementers who would not want to specify URIs for the message digest originator may use dce:creator and mention it as a literal.

Example:

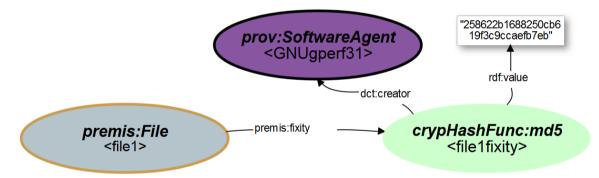


Fig. 10: Fixity information

```
<file1> a premis:File ;
  premis:fixity <file1fixity> .
<file1fixity> a crypHashFunc:md5 ;
  rdf:value "258622b1688250cb619f3c9ccaefb7eb" ;
  dct:creator <GNUgperf31> .
```

Size

To express the size of a File or a Bitstream, use premis:size.



```
<file1> a premis:File ;
  premis:size "478347923" .
```

Format

- A Bitstream or File format is expressed through a dct:format property pointing to an instance of the dct:FileFormat class. This construct may be repeated if the Object complies with multiple format definitions.
 - Note: if specifying the format by a MIME type is deemed sufficient by implementers, the ebucore:hasMimeType property may be used in addition to or in replacement of the mentioned construct.
- The formatName semantic unit should be expressed through a rdfs:label property attached to the instance of dct:FileFormat.
- The formatVersion semantic unit should be expressed through a premis:version property attached to the instance of dct:FileFormat
- The *formatNote* semantic unit should be expressed through a premis:note property attached to the instance of dct:FileFormat.
- formatRegistry semantic units identify the same format in another registry, or a more generic definition of it. Depending on the degree of similarity between the two resources, either skos:exactMatch or skos:closeMatch should be used.
 - o formatRegistryRole: if the registry role must be explicitly stated, properties defined at id-loc.gov/vocabulary/preservation/formatRegistryRole should be used, or subproperties of skos:closeMatch should be locally defined.
 - formatRegistryName: if the registry name must be explicitly stated, subproperties of skos:exactMatch or skos:closeMatch should be locally defined.

Example:8

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⁸ The PRONOM resource stands for PDF/A-1b, so skos:exactMatch is used, whereas the LoC registry describes the more generic PDF/A-1, so skos:closeMatch is used.



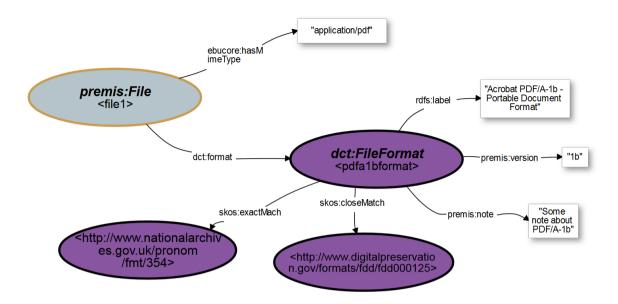


Fig. 11 File format information

```
<file1> a premis:File ;
  ebucore:hasMimeType "application/pdf" .
  dct:format <pdfalbformat> .

<pdfalbformat> a dct:FileFormat ;
  rdfs:label "Acrobat PDF/A-1b - Portable Document Format" ;
  premis:version "1b" ;
  premis:note "Some note about PDF/A-1b" ;
  skos:exactMatch
<<a href="http://www.nationalarchives.gov.uk/pronom/fmt/354">http://www.nationalarchives.gov.uk/pronom/fmt/354</a>;
  skos:closeMatch
  <a href="http://www.digitalpreservation.gov/formats/fdd/fdd000318">http://www.digitalpreservation.gov/formats/fdd/fdd000318</a>>.
```

Creating Application

Though describing an Object's creating application is preferably done by declaring an Agent related to a creation Event, the following constructs may alternatively be used by implementers, namely if the Event and Agent Entities are not supported.

- Creating application is represented by an instance of prov: SoftwareAgent related from the created Object by a dct:creator property.
- The dateCreatedByApplication semantic unit should be expressed through a prov:generatedAtTime property attached to the Object.
- The creatingApplicationName semantic unit should be expressed through an rdfs:label property attached to the instance of prov:SoftwareAgent.

 Note: implementers who would not want to specify URIs for the creating application may use dce:creator and mention the creating application name as a literal.
- The *creatingApplicationVersion* semantic unit should be expressed through a premis:version property attached to the instance of prov:SoftwareAgent.



Example:

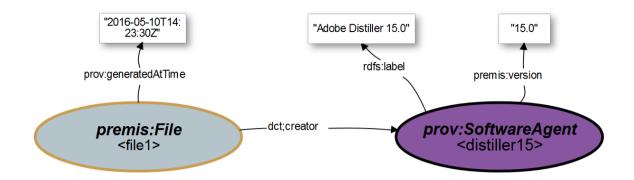


Fig. 12: Using dct:creator to link a File to its creating application

```
<file1> a premis:File ;
  dct:creator <distiller15> ;
  prov:generatedAtTime "2016-05-10T14:23:30Z" .

<distiller15> a prov:SoftwareAgent ;
  rdfs:label "Adobe Distiller 15.0" ;
  premis:version "15.0" .
```

Inhibitors

- Expressing features of the Object that could compromise preservation actions is done by declaring instances of subclasses of the abstract premis: Inhibitor class related to the Object by means of a premis: inhibitedBy property.
- Specifying the inhibitorType semantic unit is done by using subclasses of premis:Inhibitor, either declared at http://id.loc.gov/vocabulary/preservation/inhibitorType or locally defined.
- The *inhibitorTarget* semantic unit should be expressed by means of a premis:inhibits property pointing from the instance of premis:Inhibitor to an instance of premis:Action, either declared at http://id.loc.gov/vocabulary/preservation/eventType or locally defined.
- The *inhibitorKey* semantic unit is expressed by means of a premis: key property pointing from the instance of premis: Inhibitor to a literal value of type xsd:String.

Note: Storing passwords or decryption keys is sometimes necessary, but raises security issues. Consider storing keys on offline media or printing them out, and referencing their location here.



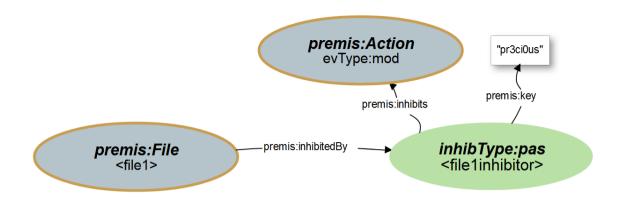


Fig. 13: A password-protected File

```
<file1> a premis:File ;
  premis:inhibitedBy <file1inhibitor> .

<file1inhibitor> a inhibType:pas ;
  premis:inhibits evType:mod ;
  premis:key "pr3ci0us" .
```

Original Name

Expressing the name of the Object as submitted to or harvested by the repository is expressed by means of a premis:originalName pointing from the Object to a literal value of type xsd:String.

Example:

```
<uri1> a premis:File ;
 premis:originalName "thesis.final.20160509.docx" .
```

Storage

- Storage information about an Object (be it a Representation, either digital or physical, a File or a Bitstream) should be expressed by a premis:storedAt property pointing from the Object to an instance of premis:StorageLocation.
- The means to reference the location of the content (the contentLocationType semantic unit) should be expressed by subclasses of premis:StorageLocation, either declared at http://id.loc.gov/vocabulary/preservation/contentLocationType or defined locally.
- The reference to the content location itself (the *contentLocationValue* semantic unit) should be expressed by the rdf:value property attached to the instance of premis:StorageLocation and pointing to a string (a filepath, a shelfmark, etc.).



The storage medium on which the Object is preserved (the storageMedium semantic unit) is expressed by the premis:medium property, pointing from the Object to an instance of premis:StorageLocation to an instance of premis:StorageMedium, either declared at http://id.loc.gov/vocabulary/preservation/storageMedium or defined locally.

Example:

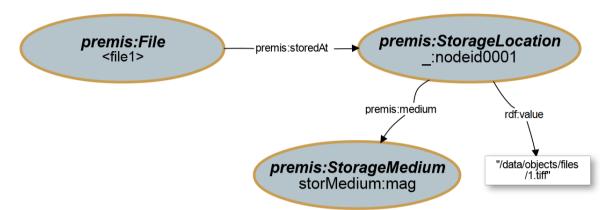


Fig. 14: Storage location information

```
<file1> a premis:File ;
    premis:storedAt [
        a premis:StorageLocation ;
        rdf:value "/data/objects/files/1.tiff" ;
        premis:medium storMedium:mag . ] .
```

Signature

- Signature information, which is used to authenticate the signer of an object and/or the information contained in the object, should be expressed by a premis:signature property attached to an instance of premis:File or premis:Bitstream pointing to an instance of premis:Signature.
- The authority responsible for generating the signature, mentioned by a signer semantic unit, should be expressed by an Agent involved in a digital signature generation Event by means of an evRelAgRole:imp property.
 Note: Alternatively, if implementers do not use the Agent and Event Entities, it may be expressed by a dce:creator property attached to the instance of premis:Signature and pointing to a literal.
- The encryption and hash algorithm used to generate the signature, mentioned in a signatureMethod semantic unit, should be expressed by subclasses of premis:Signature either declared at http://id.loc.gov/vocabulary/preservation/signatureMethod or locally defined.
- The value generated from the application of a private key to a message digest, mentioned in a *signatureValue* semantic unit, should be expressed by an rdf:value property attached to the instance of premis:Signature and pointing to a literal.



- The public key used to verify that the signature value is valid (keyInformation semantic unit) should be expressed by a premis: key property attached to the instance of premis: Signature and pointing to a literal.
- Information conveyed by the signatureEncoding semantic unit, i.e. encoding used for the values of rdf:value (signatureValue) and premis:key (keyInformation), should be expressed by a premis:encoding property attached to the instance of premis:Signature and pointing to an instance of premis:SignatureEncoding, either declared at http://id.loc.gov/vocabulary/preservation/signatureEncoding or locally defined.
- Operations to be performed in order to validate the digital signature (signature Validation Rules semantic unit) should be expressed by a premis:validation Rules property attached to an instance of premis:Signature.
 - Note: this property is intended to point to a free-text description of the validation rules. If implementers need to point to a resource documenting the validation rules, they may use premis:documentation instead.
- Additional information about the generation of the signature (signatureProperties semantic unit) should be expressed by properties of a digital signature generation Event (date, Agents involved, etc.).
 - Note: implementers who would not implement the Event entity may use a premis: note property attached to the instance of premis: Signature.

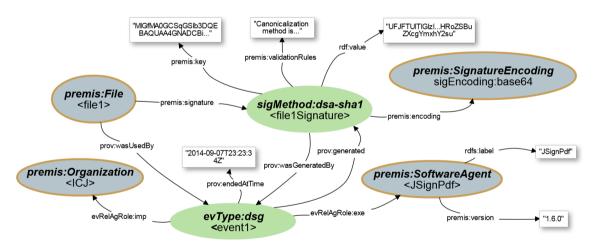


Fig. 15: Digital signature information

```
<file1> a premis:File ;
  premis:signature <file1Signature> ;
  prov:wasUsedBy <event1> .

<file1Signature> a sigMethod:dsa-sha1 ;
  rdf:value "UFJFTUlTIGlzI...HRoZSBuZXcgYmxhY2su" ;
  premis:encoding sigEncoding:base64 ;
  premis:key "MIGfMA0GCSqGSIb3DQEBAQUAA4GNADCBi..." ;
```



```
premis:validationRules "Canonicalization method is...";
prov:wasGeneratedBy <event1>.

<event1> a evType:dsg;
prov:endedAtTime "2014-09-07T23:23:34Z";
evRelAgRole:imp <ICJ>;
evRelAgRole:exe <JSignPdf>:
prov:generated <file1Signature>.

<JSignPdf> a premis:SoftwareAgent;
rdfs:label "JSignPdf";
premis:version "1.6.0".
<ICJ> a premis:Organization.
```

Environment

An Environment is any kind of technology (software, hardware or a combination of both) supporting an Object in some way (rendering, executing it, etc.). Given that an Object can be stored by a repository as a historical asset but considered an Environment by another repository, being an Environment is not an intrinsic characteristic of the Object. Therefore, an Object is not explicitly declared as Environment, but is considered as so if an Object declares a dependency relationship to it. Any category of Object can be considered an Environment; however, the following constructs are applicable only to Environments described as Intellectual Entities.

- The *environmentFunction* semantic container and its semantic units are expressed through subclasses of premis: IntellectualEntity, defined at id.loc.gov/vocabulary/preservation/environmentFunctionType.
- environmentName is expressed by the rdfs:label property.
- *environmentVersion* is expressed by a premis: version property.
- *environmentOrigin* mentions the producer of the Environment. It is expressed by a dct:creator property. The object of such property is expected to be an instance of foaf:Person or foaf:Organization.
- *environmentDesignationNote* is considered a free-text note about the Environment and thus expressed by a premis: note property.
- *environmentRegistry* semantic units identify the same Environment in another registry. Depending on the degree of similarity between the two resources, either skos:exactMatch or skos:closeMatch should be used.
 - environmentRegistryRole: if the registry role must be explicitly stated, properties defined at <u>id.loc.gov/vocabulary/preservation/environmentRegistryRole</u> should be used, or subproperties of skos:closeMatch should be locally defined.
 - environmentRegistryName: if the registry name must be explicitly stated, subproperties of skos:exactMatch or skos:closeMatch should be locally defined.
- 1. Example: WordPerfect 5.1 for DOS



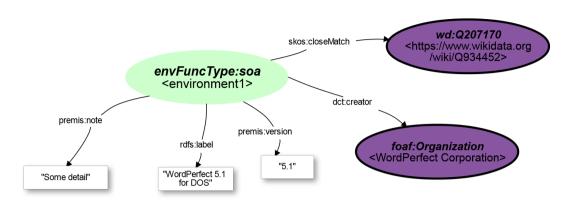


Fig. 16 A software application described as an Environment

```
<environment1> a envFuncType:soa;
    rdfs:label "WordPerfect 5.1 for DOS";
    premis:version "5.1";
    premis:note "Some detail";
    dct:creator <WordPerfect Corporation>;
    skos:closeMatch <https://www.wikidata.org/wiki/Q934452>.
```

Relationships between Objects

Relationships between PREMIS Objects should be expressed by subproperties of the premis:relationship property either declared at http://id.loc.gov/vocabulary/preservation/relationshipSubType or locally defined. In most cases, one of these properties is sufficient to express the nature of the relationship between two PREMIS Objects.

Example: a set of Files represents a digitized book.

⁹ Less precise terms defined at http://id.loc.gov/vocabulary/preservation/relationshipType are not declared as subproperties of premis:relationship and therefore not recommended to be used as properties.



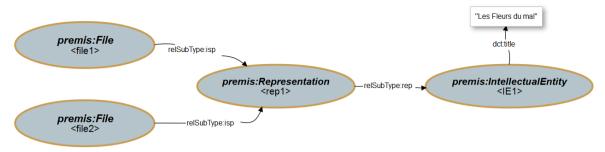


Fig. 17: Relationships between Intellectual Entities, Representations and Files

```
<file1> a premis:File ;
    relSubType:isp <rep1> .
<file2> a premis:File ;
    relSubType:isp <rep1> .
</rep1> a premis:Representation ;
    relSubType:rep <IE1> .
</re>

</pre
```

Example: a repository has a file from which it creates a derivative.

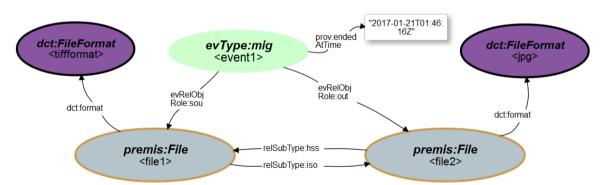


Fig. 18: Relationship between a File and its derivative

```
<file1> a premis:File ;
  dct:format <tiffformat> ;
  relSubType:iso <file2> .

<event1> a evType:mig ;
  prov:endedAtTime "2017-11-03T11:46:16+02:00" ;
  evRelObjRole:sou <file1> ;
  evRelObjRole:out <file2> .
```



```
<file2> a premis:File ;
  dct:format <jpgformat> ;
  relSubType:hss <file1> .
```

For complex relationships involving Environments (particularly dependency relationships), a more complex structure is needed to specify the Environment purpose (which action the Environment is meant to perform on the Object) and characteristic (the extent to which the Environment support its purpose). An instance of premis: Dependency should in this case be created to bear premis:purpose and premis: characteristic properties. These object properties should point to instances of respectively premis: Action and premis: EnvironmentCharacteristic either defined at http://id.loc.gov/vocabulary/preservation/eventType and http://id.loc.gov/vocabulary/preservation/environmentCharacteristic or locally defined.

Example: a repository preserves WordPerfect for DOS files and uses PREMIS to model an environment stack for rendering the files.

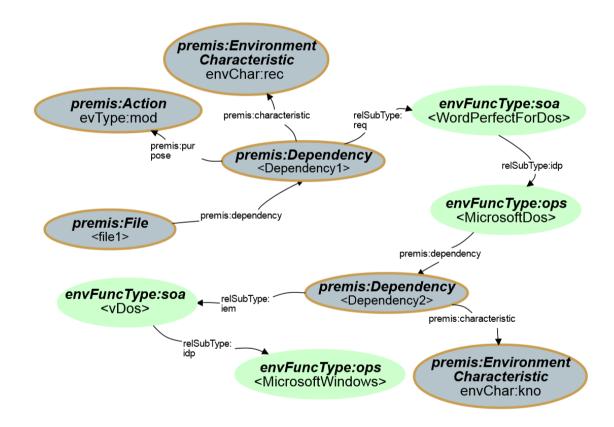


Fig. 19: A rendering environment for a File

```
<file1> a premis:File ;
    premis:dependency <Dependency1> .

<Dependency1> a premis:Dependency ;
```

¹⁰ In the PREMIS Data Dictionary these semantic units are relatedEnvironmentPurpose and relatedEnvironmentCharacteristic.



```
relSubType:req <WordPerfectForDos>;
  premis:purpose evType:mod;
  premis:characteristic envChar:rec.

<WordPerfectForDos> a envFuncType:soa;
  relSubType:idp <MicrosoftDos>.

<MicrosoftDos> a envFuncType:ops;
  relSubType:req <dependency2>.

<dependency2> a premis:Dependency;
  relSubType:iem <vDos>;
  premis:characteristic envChar:kno.

<vDos> a envFuncType:soa;
  relSubType:idp <MicrosoftWindows>.

<
```

Objects sequencing (relatedObjectSequence)

Use edm:isNextInSequence. (For aggregation-specific ordering, use ore:Proxy).



Event

Event is one of the four PREMIS Entities. The corresponding premis: Event class is a subclass of prov: Activity.

- The eventType semantic unit should be expressed by subclasses of premis:Event, either declared at http://id.loc.gov/vocabulary/preservation/eventType or locally defined.
- The eventDateTime semantic unit should be expressed by prov:startedAtTime or prov:endedAtTime properties or both, if a date and time range has to be specified.
 - Note: in the case where legacy data must be transformed into RDF and if the eventDateTime value cannot be determined as the beginning or the end of an Event, a dct:date property may be used to express eventDateTime instead of PROV-O properties.
- The eventDetail semantic unit is used to mention a free-text note about the Event. The property premis: note should be used.
- The eventOutcome semantic unit is used to mention the Event result in a coded way. The property premis:outcome should be attached to the Event and point to an inidividual of a premis:OutcomeStatus class, either declared at http://id.loc.gov/vocabulary/preservation/eventOutcome.
 Note: if the Event generated a non PREMIS Object resource (a)
 - Note: if the Event generated a non-PREMIS Object resource (a premis:PreservationPolicy, premis:Signature, premis:Fixity, etc.), implementers may use prov:generated to specify the relationship between the Event and this resource.¹¹
- The eventOutcomeDetailNote semantic unit provides additional free-text information about the Event outcome. It should be expressed through a premis:outcomeNote property attached to the Event and pointing to a string value.

Example 1: a compression Event generating a compressed File with the 7zip tool.

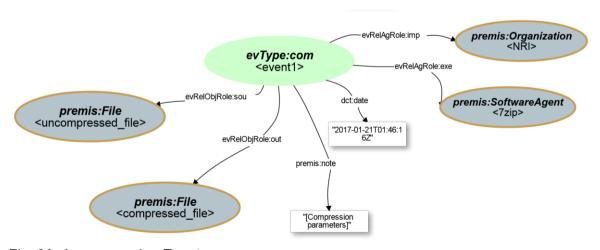


Fig. 20: A compression Event

¹¹ See examples of this construct in sections on <u>Policy</u>, <u>Signature</u> and <u>Significant properties</u> above.



```
<event1> rdf:type evType:com ;
    dct:date "2017-01-21T01:46:16Z" ;
    premis:note "[Compression parameters]" ;
    evRelObjRole:sou <uncompressed_file> ;
    evRelObjRole:out <compressed_file> ;
    evRelAgRole:imp <NRI> ;
    evRelAgRole:exe <7zip> .

<NRI> a premis:Organization .
```

Example 2: an Event tracking metadata extraction from a file by Jhove

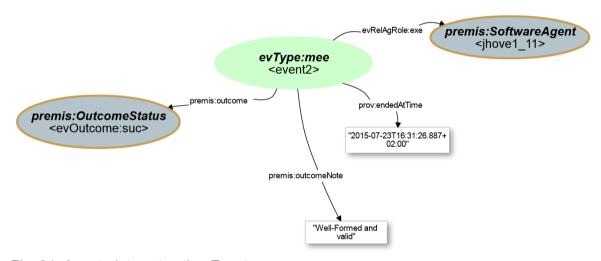


Fig. 21: A metadata extraction Event

```
<event2> rdf:type evType:mee ;
    prov:endedAtTime "2015-07-23T16:31:26.887+02:00" ;
    evRelAgRole:exe <jhovel_11> ;
    premis:outcome evOutcome:suc ;
    premis:outcomeNote "Well-Formed and valid" .
<jhovel_11> a premis:SoftwareAgent .
```



Agent

Agent is one of the the four PREMIS Entities. Its corresponding class, premis: Agent, is an abstract class, subclass of both foaf: Agent and prov: Agent.

- The agentType semantic unit should be expressed by subclasses of premis: Agent: premis: SoftwareAgent, premis: HardwareAgent, premis: Person Of premis: Organization.
- The agentName semantic unit should be expressed by a foaf:name property for instances of premis:Organization and premis:Person, or rdfs:label for instances of premis:SoftwareAgent and premis:HardwareAgent.
- The agentVersion semantic unit should be expressed by a premis: version property.
- The agentNote semantic unit should be expressed by a premis: note property.
- Note that the premis namespace is used for the agentType only when the Agent is connected to premis:Event, premis:RightsBasis or premis:Rule. If the Agent is attached to an Object as a creating application or to premis:Fixity using dct:creator, prov:SoftwareAgent Is used instead.

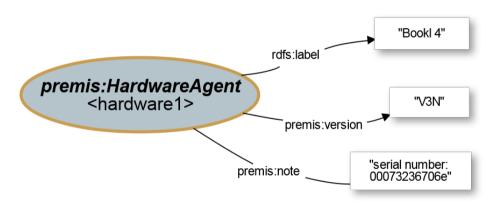


Fig. 22: A hardware Agent

```
<hardware1> a premis:HardwareAgent ;
    rdfs:label "BookI 4" ;
    premis:version "V2N" ;
    premis:note "serial number: 00073236706e" .
```



Rights

Unlike the three other PREMIS Entities, the Rights Entity has no equivalent class in RDF. Instead, the Rights Entity (rightsStatement) is represented by an instance of the premis:RightsBasis class and, optionally, by an instance of premis:RightsStatus expressing the status of the Object regarding the rights basis it is (or was) governed by.

For the relationship between Rights and Agent entities, see <u>section "Rights to Agent"</u> above.

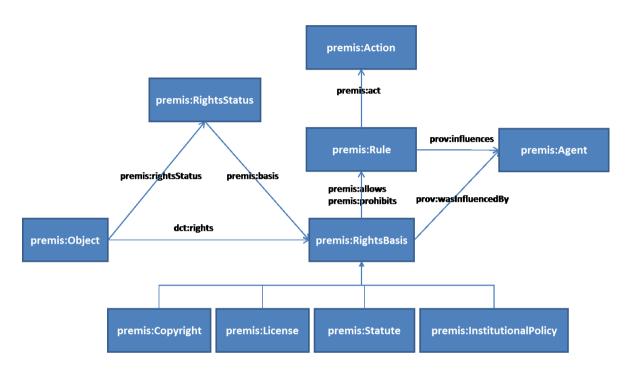


Fig. 23: A model for the PREMIS Rights entity

Rights Basis

Specifying the rights basis of any rights statement is mandatory. The rights basis governing the Object should be expressed by a dct:rights property pointing to an instance of one of the subclasses of the premis:RightsBasis abstract class (premis:Copyright, premis:Statute, premis:License, premis:InstitutionalPolicy or a locally defined class for other types of rights basis).

- Applicable dates are specific to the Object affected by the rights basis. See the section <u>Rights status</u> below.
- If a free-text note about the rights basis must be added, a premis:note property should be attached either to the instance of a subclass of the premis:RightsBasis class or to the instance of the premis:RightsStatus class, depending on whether the note applies to the rights basis or is specific to the Object.



- The jurisdiction to which the rights basis applies should be expressed by means of a premis:jurisdiction property attached to the instance of a subclass of the premis:RightsBasis class.
- Documentation concerning the rights basis should be expressed by means of a premis:documentation property pointing from an instance of a subclass of premis:RightsBasis to an instance of premis:Documentation. If the documentation role has to be mentioned, implementers should define locally subproperties of premis:documentation.
- If the copyright status of the Object must be specified, subclasses of premis:RightsStatus should be used, either declared at http://id.loc.gov/vocabulary/preservation/copyrightStatus or locally defined.
- License terms should be expressed by means of a premis: terms property attached to the instance of premis: License.
- Statute citation should be expressed by means of a premis: citation property attached to the instance of premis: Statute.
- Implementers should define locally subclasses of the premis:RightsBasis class if the rights statement is not based on copyright, statute, license nor institutional policy.

Copyright example:

```
<rightsBasis1> a premis:Copyright;
      premis:jurisdiction <a href="http://psi.oasis-open.org/iso/3166/#840">http://psi.oasis-open.org/iso/3166/#840</a>>
License example:
<rightsBasis2> a premis:Licence ;
      premis:documentation <documentation1> ;
      premis:terms "Do not, under any circumstances, etc." .
Statute example:
<rightsBasis3> a premis:Statute ;
      premis:jurisdiction <a href="http://psi.oasis-open.org/iso/3166/#276">http://psi.oasis-open.org/iso/3166/#276</a>>
;
      premis:citation "Gesetz über die deutsche Nationalbibliothek
vom 22. Juni 2006(DNBG)";
      premis:note "Legal Deposit Law in Germany" .
Institutional policy example:
<rightsBasis4> a premis:InstitutionalPolicy;
      premis:note "80-year rule";
```

Note: in the case a rights statement defined at <u>rightsstatements.org/</u> applies to an Object, such rights statement may replace an instance of premis:RightsBasis and be the basis of any permission or prohibition.

prov:wasInfluencedBy <hul> .

```
<obj3> dct:rights <http://rightsstatements.org/vocab/InC/1.0/> .
```



<http://rightsstatements.org/vocab/InC/1.0/> a premis:Copyright .

Rights status

If the Object status regarding the rights basis has to be specified, an instance of premis:RightsStatus should be attached to the Object by means of a premis:rightsStatus property. It may be necessary to specify the relationship between the status and the rights basis, especially in the case of multiple rights basis affecting simultaneously or successively the Object; in such case, a premis:basis property should point from the instance of premis:RightsStatus to the instance of premis:RightsBasis.

- Applicable dates should be mentioned by means of premis:startDate and premis:endDate properties attached to the instance of premis:RightsStatus.
 Note: though the use of standard conventions like ISO 8601 is recommended to express date and time values, the range of premis:startDate and premis:endDate is defined to be rdfs:Literal to accommodate uncertainty and open dates.¹²
- The status determination date should be specified by means of a premis:determinationDate attached to the instance of premis:RightsStatus.

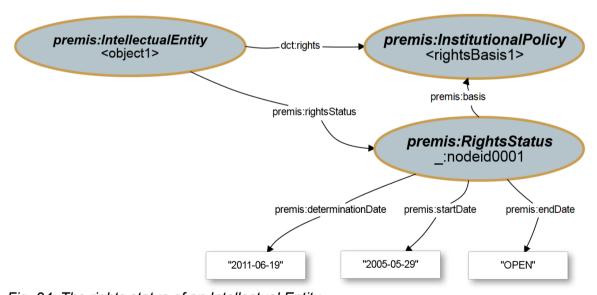


Fig. 24: The rights status of an Intellectual Entity

```
<object1> a premis:IntellectualEntity;
    dct:rights <rightsBasis1>;
    premis:rightsStatus [
```

¹² For a standard expression of uncertain or open dates, see the Extended Date/Time Format (http://www.loc.gov/standards/datetime/).



```
a premis:RightsStatus ;
premis:startDate "2005-05-29" ;
premis:endDate "OPEN" ;
premis:determinationDate "2011-06-19" ;
premis:basis <rightsBasis1> . ] .
```

<rightsBasis1> a premis:InstitutionalPolicy.

Rule (rightsGranted)

The premis:Rule class represents either a permission or a prohibition to perform an action. An instance of premis:Rule is attached to an instance of premis:RightsBasis by means of a premis:allows or premis:prohibits property, depending on whether the action is allowed or prohibited to the repository or to an Agent.

- The *rightsGrantedNote* semantic unit should be expressed by a premis:note property attached to the instance of premis:Rule.
- The rule applicable dates (the *termOfGrant* semantic unit) should be expressed by means of premis:startDate and premis:endDate properties attached to the instance of premis:Rule.
- The action allowed or prohibited by the rule should be expressed by a premis:act property pointing to an instance of premis:Action, either declared at http://id.loc.gov/vocabulary/preservation/eventType or locally defined.
- Other restrictions than the rule applicable dates applying to the permission or prohibition should be expressed by the odrl:constraint property pointing to an instance of odrl:Constraint.¹³

Note: however, if the restriction cannot be expressed by the ODRL vocabulary or in the case of a mapping from XML legacy data to RDF, a premis:restriction property may be used to attach a free-text description of the restriction to the instance of premis:Rule.

Example 1 (an institutional policy allowing <agent1> to reproduce, modify and migrate an Object, but prohibiting its dissemination for 80 years):

¹³ See https://www.w3.org/TR/o<u>drl-model/#constraint</u> for further information about ODRL Constraints.



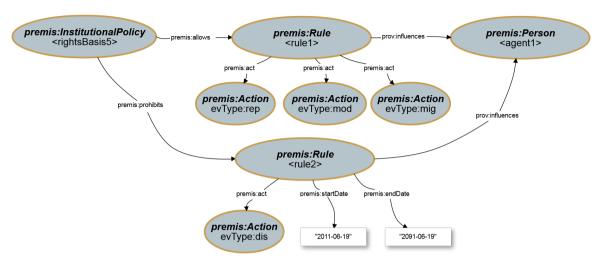


Fig. 25: Attaching Rules to a RightsBasis

```
<rightsBasis5> a premis:InstitutionalPolicy;
    premis:allows <rule1>;
    premis:prohibits <rule2> .

<rule1> a premis:Rule;
    premis:act evType:mig;
    premis:act evType:mod;
    premis:act evType:rep;
    prov:influenced <agent1> .

<rule2> a premis:Rule
    premis:act evType:dis;
    premis:startDate "2011-06-19";
    premis:endDate "2091-06-19";
    prov:influenced <agent1> .

<agent1> a premis:Person .
```

Example 2 (a license granted by <agent1> allowing the repository to make only 3 copies of the Object for preservation purposes):



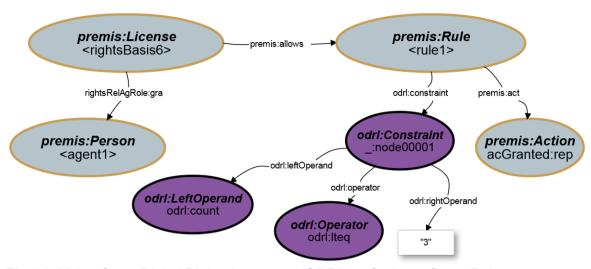


Fig. 26: Using Open Digital Rights Language (ODRL) to further refine a Rule

```
<rightsBasis6> a premis:License ;
    rightsRelAgRole:gra <agent1> ;
    premis:allows <rule1> .

<rule1> a premis:Rule ;
    premis:act evType:rep ;

dorl:constraint [
    a odrl:Constraint ;
    odrl:count "3" ;
    odrl:operator odrl:lteq . ] .

<agent1> a premis:Person .
```